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|   |  | ATTORNEY 'S DOCKET NUMBER DEP5305   |              |  |  |  |  |  |  |  |  |
|---|--|---|--------------|--|--|--|--|--|--|--|--|
| DI  | RANSMITTAL LETTER TO THE<br>ESIGNATED/ELECTED OFFICE<br>ONCERNING A FILING UNDER   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)   |              |  |  |  |  |  |  |  |  |
| INTI  | ERNATIONAL APPLICATION NO.   | PRIORITY DATE CLAIMED   |              |  |  |  |  |  |  |  |  |
|   | PCT/GB2005/000933  | 10 March 2005   | 01 June 2004 |  |  |  |  |  |  |  |  |
| TIT   | TITLE OF INVENTION ORTHOPAEDIC OPERATING SYSTEMS, METHODS, IMPLANTS AND INSTRUMENTS  |   |              |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US: Revie, et al   |  |   |              |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |  |   |              |  |  |  |  |  |  |  |  |
| 1.  | 1. A This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.   |   |              |  |  |  |  |  |  |  |  |
| 2.  | ☐ This is a <b>SECOND</b> or <b>SUBSEQUENT</b>   | ☐ This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.       |              |  |  |  |  |  |  |  |  |
| 3.  | ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below   |   |              |  |  |  |  |  |  |  |  |
| 4.  | ☑ The US has been elected (Article 31).  |   |              |  |  |  |  |  |  |  |  |
| 5.  | A copy of the International Application  | ☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |              |  |  |  |  |  |  |  |  |
|   | <ul> <li>a. ☑ is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. ☐ has been communicated by the International Bureau.</li> <li>c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>   |   |              |  |  |  |  |  |  |  |  |
| 6.  | ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |   |              |  |  |  |  |  |  |  |  |
|   | a. ☐ is attached hereto b. ☐ has been previously submitted   | a. ☐ is attached hereto b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).                             |              |  |  |  |  |  |  |  |  |
| 7.  |  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))              |              |  |  |  |  |  |  |  |  |
|   | <ul> <li>a.  are attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  have been communicated by the International Bureau.</li> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul> |   |              |  |  |  |  |  |  |  |  |
| 8.  | ☐ An English language translation of the   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).      |              |  |  |  |  |  |  |  |  |
| 9.  | An unexecuted oath or declaration of t   | An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).                                       |              |  |  |  |  |  |  |  |  |
| 10  | ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).   |   |              |  |  |  |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:   |  |   |              |  |  |  |  |  |  |  |  |
| 11.   | . 🛮 An Information Disclosure Statement u  | under 37 CFR 1.97 and 1.98.   |              |  |  |  |  |  |  |  |  |
| 12  | . An assignment document for recording   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |              |  |  |  |  |  |  |  |  |
| 13.   | ☑ A preliminary amendment.   |   |              |  |  |  |  |  |  |  |  |
| 14  | An Application Data Sheet under 37 CFR 1.76.   |   |              |  |  |  |  |  |  |  |  |
| 15  | . A substitute specification.  | A substitute specification.   |              |  |  |  |  |  |  |  |  |
| 16  | ☐ A power of attorney and/or change of address letter.   |   |              |  |  |  |  |  |  |  |  |
| 17  | ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821-1.825.  |   |              |  |  |  |  |  |  |  |  |
| 18  | . A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |   |              |  |  |  |  |  |  |  |  |
| 19  | 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  |   |              |  |  |  |  |  |  |  |  |
| 20.   | . 🛛 Other items or information:  | PCT Request   |              |  |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 AND 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION   | NO. (if known, see   | ATTORNEY'S DOCKET NUMBER<br>DEP5305 |  |                 |   |                   |    |  |  |  |
|--|--|-------------------------------------|--|-----------------|---|-------------------|----|--|--|--|
| 21. X The followi  | ng fees are submitte   | Applicant use                       | Office use only  |                 |   |                   |    |  |  |  |
| ☑ a) Basic nation  | al foo   | \$ 300.00                           |  |                 |   |                   |    |  |  |  |
| ☑ a) Basic national fee       \$300.00         ☑ b) Examination fee       \$200.00   |  |                                     |  |                 |   | \$ 200.00         |    |  |  |  |
| ·  | iee  | \$ 500.00                           |  |                 |   |                   |    |  |  |  |
| C) Search fee  | TOTAL OF ABOVE   | \$ 1000.00                          |  |                 |   |                   |    |  |  |  |
| listing or compu   | or specification and<br>uter program listing f<br>neets of paper or frac |                                     |  |                 |   |                   |    |  |  |  |
| Total Sheets   | Extra sheets   |                                     | per of each additional 50 or fract<br>reof (round up to a whole numb |                 | RATE                                    |                   |    |  |  |  |
| - 100 =  | /50 =  |                                     |  |                 | x \$250.00                              | \$ 0              |    |  |  |  |
|  | 00 for furnishing the<br>e (37 CFR 1.492(e))                             | \$                                  |  |                 |   |                   |    |  |  |  |
| CLAIMS   | NUMBER FILE  | D NUMBER EXTRA                      |  | RA <sup>-</sup> | ΓE                                      |                   |    |  |  |  |
| Total claims   | 39 - 20  | 19                                  |  | x \$50.00       |   | \$950             |    |  |  |  |
| Independent claims   | 1 - 3  |                                     |  | x 200.00        |   | \$0               |    |  |  |  |
| MULTIPLE DEPEN   | IDENT CLAIM(S) (if   | 300.00                              | \$   |                 |   |                   |    |  |  |  |
|  |  | \$950                               |  |                 |   |                   |    |  |  |  |
| Applicant claim  | s small entity status  | \$                                  |  |                 |   |                   |    |  |  |  |
| *, '*'   |  | \$950                               |  |                 |   |                   |    |  |  |  |
| Processing fee of \$ earliest claimed prices   | า<br>130.00 for furnishin<br>prity date (37 CFR 1                        | \$0                                 |  |                 |   |                   |    |  |  |  |
|  |  | \$1950                              |  |                 |   |                   |    |  |  |  |
| Fee for recording the accompanied by ar  | ne enclosed assignm<br>n appropriate cover s                             | \$0                                 |  |                 |   |                   |    |  |  |  |
|  |  | \$1950                              |  |                 |   |                   |    |  |  |  |
|  |  |                                     | \$   |                 |   |                   |    |  |  |  |
|  |  |                                     | Amo  | unt to t        | e charged:                              |                   | \$ |  |  |  |
| a. A check in  | a. A check in the amount of \$ to cover the above fees is enclosed.      |                                     |  |                 |   |                   |    |  |  |  |
| b. A Please charge my Deposit Account No. 10-0750/DEP5305/BST in the amount of \$2250.00 to cover the above fees.  |  |                                     |  |                 |   |                   |    |  |  |  |
| c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0750/DEP5305/BST.   |  |                                     |  |                 |   |                   |    |  |  |  |
| d. Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit Card information should not be included on this form</b> . Provide credit card information and authorization on PTO-2038. |  |                                     |  |                 |   |                   |    |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.  |  |                                     |  |                 |   |                   |    |  |  |  |
| SEND ALL CORPE   | SPONDENCE TO:  |                                     |  |                 |   |                   |    |  |  |  |
| Philip S. Johnson, I   | ≣sq.   |                                     |  | _               | nature                                  | September 6, 2006 | _  |  |  |  |
| Johnson & Johnson<br>One Johnson & Jol   | nnson Plaza  |                                     |  |                 | Name: Brian S. Tomko<br>Reg. No. 41,349 |                   |    |  |  |  |
| New Brunswick, N.<br>USA   | 08933-7003   |                                     |  | Atto            | rney for Applica                        | ants              |    |  |  |  |
| Page 2 of 2  |  |                                     |  |                 |   |                   |    |  |  |  |